

S/N Unknown

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Karlheinz Haubennestel et al.	Examiner:	Daniel Metzmaier
Serial No.:	Unknown	Group Art Unit:	Unknown
Filed:	Herewith	Docket:	1238.002US2
Title:	DISPERSING AGENTS FOR PIGMENTS OR EXTENDERS BASED ON ACRYLIC ACID ALKYL ESTER POLYMERS		

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents

P.O.Box 1450

Alexandria, VA 22313-1450

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 *et. seq.*, the enclosed materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicants respectfully request that this Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicants request that a copy of the 1449 form, initialed as being considered by the Examiner, be returned to the Applicants with the next official communication.

Pursuant to 37 C.F.R. §1.97(b), it is believed that no fee or statement is required with the Information Disclosure Statement. However, if an Office Action on the merits has been mailed, the Commissioner is hereby authorized to charge the required fees to Deposit Account No. 19-0743 in order to have this Information Disclosure Statement considered.

Pursuant to 37 C.F.R. §1.98(d), copies of the listed documents are not provided as these references were previously cited by or submitted to the U.S. Patent Office in connection with Applicants' prior U.S. application, Serial No. 09/075,613, filed on May 11, 1998, which is relied upon for an earlier filing date under 35 U.S.C. §120.

The Examiner is invited to contact the Applicants' Representative at the below-listed telephone number if there are any questions regarding this communication.

Respectfully submitted,
KARLHEINZ HAUBENNESTEL ET AL.
By their Representatives,
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Date July 21, 2003

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Date of Deposit: July 21, 2003

This paper or fee is being deposited on the date indicated above with the United States Postal Service pursuant to 37 CFR 1.10, and is addressed to The Commissioner for Patents, Mail Stop Patent Application, P.O.Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number	Unknown
Filing Date	Even Date Herewith
First Named Inventor	Haubennestel, Karlheinz
Group Art Unit	Unknown
Examiner Name	Metzmaier, Daniel

Sheet 1 of 2

Attorney Docket No: 1238.002US2

US PATENT DOCUMENTS

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	Filing Date If Appropriate
	US-2,595,907	05/06/1952	Thomas, Walter , et al.	260	85.5	12/30/1950
	US-2,980,657	04/18/1961	Melamed, Sidney , et al.	260	86.1	07/06/1954
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T ²
	EP-0311157	05/23/2001	Wulff, W. A., et al.	C08F	226/06	
	EP-0318999	06/07/1989				
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	WO-93/02131	02/04/1993				

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

EXAMINER

DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Complete if Known

Application Number	Unknown
Filing Date	Even Date Herewith
First Named Inventor	Haubennestel, Karlheinz
Group Art Unit	Unknown
Examiner Name	Metzmaier, Daniel

Sheet 2 of 2

Attorney Docket No: 1238.002US2

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		DWPI, "DWPI on WEST, week 199902", London, Derwent Publications, Ltd., AN 1985-231484, Class A14, EP 154678 A (BYK-Chemie GmbH) abstract, (1985),	
		GOLDSCHMIDT AG TH, "DAatabase WPINDEX on Questel, week 9419, London: Derwent Publications Ltd., AN-94-027391, Class A97, EP595129 A2, abstract", (1994),	
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EXAMINER**DATE CONSIDERED**

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